



CAPITAL MARKET UNIT

REGULATORY DEPARTMENT, AUTORITI MONETARI BRUNEI DARUSSALAM

COMPANIES LICENSED UNDER SECURITIES MARKETS ORDER, 2013

(1) Capital Markets Services Licence holders

No.	Name of companies	CMSL	Contact details			Type of Activities					
		Reference No.	Office Address	Contact no.	Designated Head	Dealing and/or arranging deals and transactions in investment as principal or agent	Safekeeping and administration of assets including custodial services	Managing investments including CIS management and/or establishing, operating or winding up a CIS	Investment advice as an investment adviser	Investment advice as a financial planner	Using computer based systems for giving investment instructions
1	Baiduri Capital Sdn Bhd	AMBD/R/CMU/B1-CL	Unit 9, Ground Floor, Block A, Lebuhraya Sultan Hassanah Bokiah, BE1318 Negara Brunei Darussalam	Off: (673) 2232153 Fax: (673) 2232155	General Manager: Peng Eng Soon	/				/	/
2	BIBD Securities Sdn Bhd	AMBD/R/CMU/B2-CL	Tingkat 1, Kompleks Setia Kenangan Kg Kiulap Bandar Seri Begawan BE 1518 Negara Brunei Darussalam	Off: (673) 2239154 Fax: (673) 2239153	Managing Director: Hj Minorhadi bin Hj Mirhassan	/					
3	BNP Paribas Asset Management (B) Sdn Bhd	AMBD/R/CMU/B3-CL	Unit 2, 1st Floor, Block A, Pelangi Complex, Lot 7302, Kg Delima Satu, Mukim Berakas, Bandar Seri Begawan BB4713 Negara Brunei Darussalam	Off: (673) 2232201 / 202 / 203 Fax: (673) 2232204	Chief Executive Officer: Chin Tsun Ping Wei Chen Manager: Maureen Fletcher				/		
4	CIMB Investment Bank Berhad	AMBD/R/CMU/C1-CL	14th Floor, PGGMB Building Jalan Kianggeh, Bandar Seri Begawan BS8811 Negara Brunei Darussalam	Off: (673) 241888 Fax: (673) 240999	Country Manager: Brian Wong Kwai Mun				/		
5	Goodlife Financial Planning Sdn Bhd	AMBD/R/CMU/G1-CL	No. 4, 1st Floor, Block D, Kiarong Complex, Gadong, BE1318, Brunei Darussalam	Off: (673) 2455371 Fax: (673) 2455481	Director: Ang Kian Guan					/	
6	Lion Global Investors Limited - Brunei Branch	AMBD/R/CMU/L1-CL	Unit 3A, Level 5, Retail Arcade The Empire Hotel & Country Club Jerudong BG3122 Negara Brunei Darussalam	Off: (673) 2230826 / 2230836 Fax: (673) 2230283	Acting Head: Amalina Diyana binti Mohd Daud				/		
7	SBI (B) Sdn Bhd	AMBD/R/CMU/S1-CL	Level 6A Empire Arcade The Empire Hotel & Country Club Kampong Jerudong BG3122 Negara Brunei Darussalam	Off: (673) 2240610 Fax: (673) 2240611	Chief Executive Officer: Amran Mohamad			/			
8	Seri Venture Capital Management Sdn Bhd	AMBD/R/CMU/S2-CL	Level 6, Ministry of Finance Building Commonwealth Drive Bandar Seri Begawan BB3910 Negara Brunei Darussalam	Off: (85) 231967888 Fax: (85) 231967889	General Manager: Loo Yau Soon				/		
9	Standard Chartered Securities Sdn Bhd	AMBD/R/CMU/S3-CL	G01-02, Wisma Haji Mohd Taha Building, Jalan Gadong BE4119 Negara Brunei Darussalam	Off: (673) 366099 Fax: n/a	Chief Executive Officer: Brenda Low Leng San	/			/	/	
10	UOB Asset Management (B) Sdn Bhd	AMBD/R/CMU/U1-CL	Unit FF03-FF05, First Floor, The Centrepoint Hotel, Jalan Gadong, Bandar Seri Begawan, BE3519	Off: (673) 2424806 Fax: (673) 2424805	General Manager: Kamal bin Haji Muhammad				/		