



Notification of Cessation Under Securities Markets Order, 2013

Capital Markets Services Licence (CMSL)

Name of companies	CMSL reference	Type of activities	Date of Cessation
BNP Paribas Asset Management (B) Sdn Bhd	AMBD/R/CMS/B3-CL	Investment advice as an investment adviser	10/12/2019
Seri Venture Capital Management Sdn Bhd	AMBD/R/CMS/S2-CL	Investment advice as an investment adviser	15/8/2019
Abraaj BICB Advisers Sdn Bhd	AMBD/R/CMU/A1-CL	Investment advice as an investment adviser	22/2/2017

Capital Markets Services Representative Licence (CMSRL)

Name of companies	Name of Representatives	CMSRL no.	Type of Activities	Date of Cessation	
Goodlife Financial Planning	Ang Kian Guan	G1-R01	6	26/8/2020	
Goodlife Financial Planning	Nur Wajemah binti Abdullah	G1-R02	6		
Goodlife Financial Planning	Nurhawani binti Haji Ahmad	G1-R05	6		
Goodlife Financial Planning	Siti Nur Afiqah binti Mohammad Sunadi	G1-R06	6		
Goodlife Financial Planning	Muhammad Nabil bin Othman	G1-R07	6		
Goodlife Financial Planning	Elly Wong Yick Wen	G1-R08	6		
AIA Singapore Private Limited	Thow Sye Jun	A2-R03	6		31/7/2020
AIA Singapore Private Limited	Goh Poh Kuan	A2-R76	7		6/7/2020
SB (B) Sdn Bhd	Yazaly bin Yahya	S1-R07	7	24/7/2020	
AIA Singapore Private Limited	Hajah Sapriah binti Ramlee	A2-R48	6	13/2/2020	
AIA Singapore Private Limited	Tan Lang Ee	A2-R47	6	4/2/2020	
SB (B) Sdn Bhd	Amran bin Mohd	S1-R01	7	6/1/2020	
AIA Singapore Private Limited	Lim Ming Chu	A2-R38	6	2/1/2020	
Standard Chartered Securities Sdn Bhd	Siti Nur Cathyah binti Abdullah	S3-R38	2,6	24/7/2020	
Goodlife Financial Planning	Liew Tze Hung	G1-R03	6	30/1/2020	
Goodlife Financial Planning	Yong Shih Ching	G1-R04	6	30/1/2020	
Baiduri Capital Sdn Bhd	Muhammad Hibatul Hajimi bin Haji Suhalli	B1-R27	2,6	18/1/2020	
Baiduri Capital Sdn Bhd	Muhammad Zharif bin Haji Sabli	B1-R18	1,3	18/12/2019	
BNP Paribas Asset Management (B) Sdn Bhd	Tan Puay Lit	B3-R03	5	10/12/2019	
BNP Paribas Asset Management (B) Sdn Bhd	Chin Tsun Ping	B3-R02	5	10/12/2019	
AIA Co. Ltd (Agent)	Chai Luke Yuen	A2-R15	6	26/10/2019	
Standard Chartered Securities Sdn Bhd	Muhammad Nuh @ Abdul Aziz bin Johari	S3-R36	2,6	23/6/2019	
Seri Venture Capital Management Sdn Bhd	Loo Yau Soon	S1-R01	5	15/8/2019	
Seri Venture Capital Management Sdn Bhd	Koo Kwok Cheung Kelvin	S1-R02	5	15/8/2019	
Standard Chartered Securities Sdn Bhd	Nur Syazwani Hamimi Zaini	S3-R40	6	1/4/2019	
Lion Global Investors Limited - Brunei Branch	Amalina Diyana binti Mohd Daud	L1-R01	7	14/5/2019	
AIA Co. Ltd (Agent)	Teo Saw Chin	A2-R45	6	8/3/2019	
AIA Co. Ltd (Agent)	Lee Wee Hong	A2-R68	6	1/1/2019	
AIA Co. Ltd (Agent)	Kok Wan Hoi	A2-R69	6	19/12/2018	
Baiduri Capital Sdn Bhd	Abigail Chong Chui Fern	B1-R12	2,6	2/12/2018	
Baiduri Capital Sdn Bhd	Hwong Lik Sui	B1-R14	2,6	2/12/2018	
Baiduri Capital Sdn Bhd	Md Husairi Bin Hamiddun	B1-R19	2,6	2/12/2018	
AIA Co. Ltd (Agent)	Dennis Lim Khai Shiang	A2-R61	6	29/11/2018	
AIA Co. Ltd (Agent)	Chen Kim Siong	A2-R28	6	21/11/2018	
Standard Chartered Securities Sdn Bhd	David Kwan Tai Wai	S3-R32	6	30/10/2018	
AIA Co. Ltd (Agent)	Chiew Oi Ching Annie	A2-R10	6	1/10/2018	
Standard Chartered Securities Sdn Bhd	Junaidi bin Bahrum	S3-R35	1,6	6/9/2018	
Standard Chartered Securities Sdn Bhd	Lim Chung Tee	S3-R33	6	25/07/2018	
Baiduri Capital Sdn Bhd	Norsarini Abdullah	B1-R09	3,6	25/04/2018	
Standard Chartered Securities Sdn Bhd	Ong Zhuzhen, Geraldine	S3-R12	5	27/03/2018	
Standard Chartered Securities Sdn Bhd	Azhish Prasad Nair	S3-R14	5	27/03/2018	
Standard Chartered Securities Sdn Bhd	See Toh Hon Wei, Benedict	S3-R15	5	27/03/2018	
Standard Chartered Securities Sdn Bhd	Dronodeb Raychaudhuri	S3-R18	5	27/03/2018	
Standard Chartered Securities Sdn Bhd	Lee Kin Chow, Danny	S3-R19	5	27/03/2018	
Standard Chartered Securities Sdn Bhd	Jeremiah Shasha Marquez	S3-R25	5	27/03/2018	
Standard Chartered Securities Sdn Bhd	Chan Shin Le	S3-R26	5	27/03/2018	
Standard Chartered Securities Sdn Bhd	Joanne Chin Yoke Fen	S3-R27	5	27/03/2018	
Standard Chartered Securities Sdn Bhd	Elias Yap Choong Beng	S3-R28	5	27/03/2018	
Standard Chartered Securities Sdn Bhd	Winnie Wong Wee Siok	S3-R29	5	27/03/2018	
BNP Paribas Asset Management (B) Sdn Bhd	Wong Pui Meng	B3-R01	5	19/1/2018	
AIA Co. Ltd (Agent)	Lin Lee Chun Chin	A2-R21	6	17/1/2018	
AIA Co. Ltd (Agent)	Ting Yew Kee	A2-R30	6	17/1/2018	
CIMB Investment Bank Berhad	Fezrin Atzua Bin Ismail	C1-R02	5	17/1/2017	
Standard Chartered Securities Sdn Bhd	Sherily Tanara	S3-R22	6	19/10/2017	
Standard Chartered Securities Sdn Bhd	Chan Leang Seng	S3-R17	6	17/8/2017	
Standard Chartered Securities Sdn Bhd	Lim Quan	S3-R09	6	20/7/2017	
Standard Chartered Securities Sdn Bhd	Junaidi bin Bahrum	S3-R16	6	9/2/2017	
AIA Co. Ltd (Agent)	Cheng Sheue Ian	A2-R37	6	19/1/2017	
Standard Chartered Securities Sdn Bhd	Mohamed Fatah Bin Mohamed Fossil	S3-R13	5	29/12/2016	
Standard Chartered Securities Sdn Bhd	Fergus Lim Hooi Siang	S3-R10	6	21/12/2016	
Standard Chartered Securities Sdn Bhd	Elaine Chi Yin Tsang	S3-R06	6	24/8/2016	

Type of Activities	No.
Dealing and arranging deals in investments as principal or agent	1
Dealing and arranging deals in investments as principal or agent (Limited to unit trusts product only)	2
Dealing and arranging deals in investments as principal or agent (Limited to unit trust products and Investment Linked Insurance Contracts)	3
Using computer based systems to give investment instructions	4
Giving or offering investment advice in his capacity as an investment adviser	5
Giving or offering investment advice in his capacity as financial planner	6
Managing investments including CIS management and/or establishing, operating or winding up a CIS	7

Collective Investment Schemes

Name of Umbrella Fund	Name of Sub-Fund	Date of Cessation
Phillip Income Fund	n/a	21/2/2020
SB Islamic Fund II (Brunei) Limited	n/a	29/10/2019
BlackRock Global Funds	Asian Local Bond Fund	9/4/2017
AI-AHL Global Trading Equity Fund	n/a	30/3/2017
AI-AHL Global Trading Equity Fund	n/a	30/3/2017
BIBD Al-Kauthar Funds DCC Incorporated	n/a	15/2/2017
Henderson Global Select Funds	n/a	1/6/2016