


Notification of Cessation Under Securities Markets Order, 2013
Capital Markets Services Licence (CMSL)

Name of companies	CMSL reference	Type of activities	Date of Cessation
Goodlife Financial Planning Sdn Bhd	AMBD/R/CML/GI-CL	Investment advice as a financial planner	22/4/2021
BNP Paribas Asset Management (B) Sdn Bhd	AMBD/R/CMS/BS-CL	Investment advice as an investment adviser	10/12/2019
Seri Venture Capital Management Sdn Bhd	AMBD/R/CMS/S2-CL	Investment advice as an investment adviser	15/8/2019
Abraaj BCB Advisers Sdn Bhd	AMBD/R/CML/AI-CL	Investment advice as an investment adviser	22/2/2017

Capital Markets Services Representative Licence (CMSRL)

Name of companies	Name of Representatives	CMSRL no.	Type of Activities	Date of Cessation
Standard Chartered Securities Sdn Bhd	Amyzuliza binti Hasnan	S3-R42	4.7	1/4/2021
Standard Chartered Securities Sdn Bhd	Kevin Tay Kai Sen	S3-R20	2.7	4/7/2021
Baiduri Capital Sdn Bhd	Loo You Joel	BI-R02	1.5	1/7/2021
Goodlife Financial Planning	Ang Kian Guan	G1-R01	7	26/8/2020
Goodlife Financial Planning	Nur Wajemah binti Abdullah	G1-R02	7	
Goodlife Financial Planning	Nurhawani binti Haj Ahmad	G1-R05	7	
Goodlife Financial Planning	Siti Nur Afqah binti Mohammad Sunadi	G1-R06	7	
Goodlife Financial Planning	Muhammad Nabil bin Othman	G1-R07	7	
Goodlife Financial Planning	Ely Wong Yick Wen	G1-R08	7	
AIA Singapore Private Limited	Thow Sze Jun	A2-R03	7	31/7/2020
AIA Singapore Private Limited	Goh Poh Kuan	A2-R76	8	6/7/2020
SB (B) Sdn Bhd	Yazaly bin Yahya	S1-R07	8	24/7/2020
AIA Singapore Private Limited	Hajah Sapriah binti Ramlee	A2-R48	7	13/2/2020
AIA Singapore Private Limited	Tan Lang Ee	A2-R47	7	4/3/2020
SB (B) Sdn Bhd	Amran bin Mohd	S1-R01	8	6/7/2020
AIA Singapore Private Limited	Lim Ming Chu	A2-R38	7	2/7/2020
Standard Chartered Securities Sdn Bhd	Siti Nur Cahyiah binti Abdullah	S3-R38	3.7	24/7/2020
Goodlife Financial Planning	Liew Tze Hung	G1-R03	7	30/7/2020
Goodlife Financial Planning	Yong Shih Ching	G1-R04	7	30/7/2020
Baiduri Capital Sdn Bhd	Muhammad Hibatul Hajmi bin Haj Suhaili	BI-R27	3.7	18/7/2020
Baiduri Capital Sdn Bhd	Muhammad Zhanif bin Haj Sabil	BI-R18	1.4	18/12/2019
BNP Paribas Asset Management (B) Sdn Bhd	Tan Puay Lit	BS-R03	6	10/12/2019
BNP Paribas Asset Management (B) Sdn Bhd	Chin Tsun Ping	BS-R02	6	10/12/2019
AIA Co. Ltd (Agent)	Chai Loke Yun	A2-R15	7	26/10/2019
Standard Chartered Securities Sdn Bhd	Muhammad Nuh @ Abdul Aziz bin Johari	S3-R36	3.7	23/6/2019
Seri Venture Capital Management Sdn Bhd	Loo You Soon	S1-R01	6	15/6/2019
Seri Venture Capital Management Sdn Bhd	Koo Kwok Cheung Kelvin	S1-R02	6	15/6/2019
Standard Chartered Securities Sdn Bhd	Nur Syarif Hamimi Zaini	S3-R40	7	1/4/2019
Lion Global Investors Limited - Brunei Branch	Amalina Diyana binti Mohd Daud	L1-R01	8	14/5/2019
AIA Co. Ltd (Agent)	Teo Saw Chin	A2-R45	7	8/7/2019
AIA Co. Ltd (Agent)	Lee Wee Hong	A2-R68	7	1/7/2019
AIA Co. Ltd (Agent)	Kok Wan Hoi	A2-R69	7	19/12/2018
Baiduri Capital Sdn Bhd	Abigail Chong Chai Fern	BI-R12	2.7	2/2/2018
Baiduri Capital Sdn Bhd	Hwong Lik Sui	BI-R14	2.7	2/2/2018
Baiduri Capital Sdn Bhd	Md Husain Bin Hamiddun	BI-R19	2.7	2/2/2018
AIA Co. Ltd (Agent)	Dennis Lim Khai Shiang	A2-R61	7	29/1/2018
AIA Co. Ltd (Agent)	Chen Kim Siong	A2-R28	7	2/1/2018
Standard Chartered Securities Sdn Bhd	David Kwan Tai Wai	S3-R32	7	30/10/2018
AIA Co. Ltd (Agent)	Chiew Qi Ching Annie	A2-R10	7	1/10/2018
Standard Chartered Securities Sdn Bhd	Junaidi bin Bahrum	S3-R35	1.7	6/9/2018
Standard Chartered Securities Sdn Bhd	Lim Chung Tee	S3-R33	7	25/07/2018
Baiduri Capital Sdn Bhd	Norsarini Abdullah	BI-R09	4.7	25/04/2018
Standard Chartered Securities Sdn Bhd	Qng Zhuozhen, Geraldine	S3-R12	6	27/03/2018
Standard Chartered Securities Sdn Bhd	Ashish Prasad Nair	S3-R14	6	27/03/2018
Standard Chartered Securities Sdn Bhd	See Tah Hon Wei, Benedict	S3-R15	6	27/03/2018
Standard Chartered Securities Sdn Bhd	Dronodobb Raychaudhuri	S3-R18	6	27/03/2018
Standard Chartered Securities Sdn Bhd	Lee Kin Chow, Danny	S3-R19	6	27/03/2018
Standard Chartered Securities Sdn Bhd	Jeremiah Shasha Marquez	S3-R25	6	27/03/2018
Standard Chartered Securities Sdn Bhd	Chan Shin Le	S3-R26	6	27/03/2018
Standard Chartered Securities Sdn Bhd	Joanne Chin Yoke Fen	S3-R27	6	27/03/2018
Standard Chartered Securities Sdn Bhd	Elas Yap Choong Bing	S3-R28	6	27/03/2018
Standard Chartered Securities Sdn Bhd	Winnie Wong Wee Sook	S3-R29	6	27/03/2018
BNP Paribas Asset Management (B) Sdn Bhd	Wong Pui Ming	BS-R01	6	19/7/2018
AIA Co. Ltd (Agent)	Lin Lee Chun Chin	A2-R21	7	17/7/2018
AIA Co. Ltd (Agent)	Ting Yew Kee	A2-R30	7	17/7/2018
CIMB Investment Bank Berhad	Fezmi Azua Bin Ismail	C1-R02	6	17/1/2017
Standard Chartered Securities Sdn Bhd	Sherry Tamara	S3-R22	7	19/10/2017
Standard Chartered Securities Sdn Bhd	Chan Leung Seng	S3-R17	7	17/8/2017
Standard Chartered Securities Sdn Bhd	Lim Guan	S3-R09	7	20/7/2017
Standard Chartered Securities Sdn Bhd	Junaidi bin Bahrum	S3-R16	7	9/2/2017
AIA Co. Ltd (Agent)	Cheng Sheau Ian	A2-R37	7	19/7/2017
Standard Chartered Securities Sdn Bhd	Mohamed Fatah bin Mohamed Fossil	S3-R13	6	29/12/2016
Standard Chartered Securities Sdn Bhd	Fergus Lim Hooi Siang	S3-R10	7	2/12/2016
Standard Chartered Securities Sdn Bhd	Baine Chi Yin Tsang	S3-R06	7	24/8/2016

Type of Activities	No.
Dealing and Arranging deals in Investments as principle or agent	1
Dealing and arranging deals in Investments as principle or agent (Limited to dealing in units in Collective Investment Schemes and Investment-Linked Insurance Contract)	2
Dealing and arranging deals in Investments as principle or agent (Limited to dealing in Investment-Linked Insurance Contract)	3
Dealing and arranging deals in Investments as principle or agent (Limited to dealing in units in Collective Investment Schemes only)	4
Using computer-based system for giving Investment instruction	5
Giving or offering Investment Advice as an Investment Adviser	6
Giving or offering Investment Advice as a Financial Planner	7
Managing Investments including CIS management and/or establishing, operating or winding up a CIS	8

Collective Investment Schemes

CIS Fund Name	Date of Cessation
SB Islamic Fund II (Brunei) Limited	29/10/2019
Phillip Income Fund	2/2/2020
BBB Al-Kautsar Funds DCC Incorporated	2/15/2017
AI-AHL Global Trading Equity Fund	3/30/2017
AI-AHL Small Cap Trading Equity Fund	3/30/2017