



Notification of Cessation Under Securities Markets Order, 2013

Capital Markets Services Licence (CMSL)

Name of companies	CMSL reference	Type of activities	Date of Cessation
Abraaj BICB Advisers Sdn Bhd	AMBD/R/CMU/A1-CL	Investment advice as an investment adviser	22/2/2017
Seri Venture Capital Management Sdn Bhd	AMBD/R/CMS/S2-CL	Investment advice as an investment adviser	15/8/2019
BNP Paribas Asset Management (B) Sdn Bhd	AMBD/R/CMS/B3-CL	Investment advice as an investment adviser	10/12/2019

Capital Markets Services Representative Licence (CMSRL)

Name of companies	Name of Representatives	CMSRL no.	Type of Activities	Date of Cessation
BNP Paribas Asset Management (B) Sdn Bhd	Tan Puay Lit	B3-R03	5	10/12/2019
BNP Paribas Asset Management (B) Sdn Bhd	Chin Tsun Ping	B3-R02	5	10/12/2019
Standard Chartered Securities Sdn Bhd	Muhammad Nuh @ Abdul Aziz bin Johari	S3-R36	2,6	23/6/2019
Seri Venture Capital Management Sdn Bhd	Loo Yau Soon	S1-R01	5	15/8/2019
Seri Venture Capital Management Sdn Bhd	Koo Kwok Cheung Kelvin	S1-R02	5	15/8/2019
Standard Chartered Securities Sdn Bhd	Nur Syazwani Hamimi Zaini	S3-R40	6	1/4/2019
Lion Global Investors Limited - Brunei Branch	Amalina Diyana binti Mohd Daud	L1-R01	7	14/5/2019
AIA Co. Ltd (Agent)	Teo Saw Chin	A2-R45	6	8/1/2019
AIA Co. Ltd (Agent)	Lee Wee Hong	A2-R68	6	1/1/2019
AIA Co. Ltd (Agent)	Kok Wan Hoi	A2-R69	6	19/12/2018
Baiduri Capital Sdn Bhd	Abigail Chong Chui Fern	B1-R12	2,6	2/12/2018
Baiduri Capital Sdn Bhd	Hwong Lik Sui	B1-R14	2,6	2/12/2018
Baiduri Capital Sdn Bhd	Md Husairi Bin Hamiddun	B1-R19	2,6	2/12/2018
AIA Co. Ltd (Agent)	Dennis Lim Khai Shiang	A2-R61	6	29/11/2018
AIA Co. Ltd (Agent)	Chen Kim Siong	A2-R28	6	21/11/2018
Standard Chartered Securities Sdn Bhd	David Kwan Tai Wai	S3-R32	6	30/10/2018
AIA Co. Ltd (Agent)	Chiew Oi Ching Annie	A2-R10	6	1/10/2018
Standard Chartered Securities Sdn Bhd	Junaidi bin Bahrum	S3-R35	1,6	6/9/2018
Standard Chartered Securities Sdn Bhd	Lim Chung Tee	S3-R33	6	25/07/2018
Baiduri Capital Sdn Bhd	Norsarini Abdullah	B1-R09	3,6	25/04/2018
Standard Chartered Securities Sdn Bhd	Ong Zhuzhen, Geraldine	S3-R12	5	27/03/2018
Standard Chartered Securities Sdn Bhd	Ashish Prasad Nair	S3-R14	5	27/03/2018
Standard Chartered Securities Sdn Bhd	See Toh Hon Wei, Benedict	S3-R15	5	27/03/2018
Standard Chartered Securities Sdn Bhd	Dronodeb Raychaudhuri	S3-R18	5	27/03/2018
Standard Chartered Securities Sdn Bhd	Lee Kin Chow, Danny	S3-R19	5	27/03/2018
Standard Chartered Securities Sdn Bhd	Jeremiah Shasha Marquez	S3-R25	5	27/03/2018
Standard Chartered Securities Sdn Bhd	Chan Shin Le	S3-R26	5	27/03/2018
Standard Chartered Securities Sdn Bhd	Joanne Chin Yoke Fen	S3-R27	5	27/03/2018
Standard Chartered Securities Sdn Bhd	Elias Yap Choong Beng	S3-R28	5	27/03/2018
Standard Chartered Securities Sdn Bhd	Winnie Wong Wee Siok	S3-R29	5	27/03/2018
BNP Paris Asset Management (B) Sdn Bhd	Wong Pui Meng	B3-R01	5	19/1/2018
AIA Co. Ltd (Agent)	Lin Lee Chun Chin	A2-R21	6	17/1/2018
AIA Co. Ltd (Agent)	Ting Yew Kee	A2-R30	6	17/1/2018
CIMB Investment Bank Berhad	Fezrin Azua Bin Ismail	C1-R02	5	17/11/2017
Standard Chartered Securities Sdn Bhd	Sherly Tanara	S3-R22	6	19/10/2017
Standard Chartered Securities Sdn Bhd	Chan Leang Seng	S3-R17	6	17/8/2017
Standard Chartered Securities Sdn Bhd	Lim Quan	S3-R09	6	20/7/2017
Standard Chartered Securities Sdn Bhd	Junaidi bin Bahrum	S3-R16	6	9/2/2017
AIA Co. Ltd (Agent)	Cheng Sheue Ian	A2-R37	6	19/1/2017
Standard Chartered Securities Sdn Bhd	Mohamed Fatah bin Mohamed Fossil	S3-R13	5	29/12/2016
Standard Chartered Securities Sdn Bhd	Fergus Lim Hooi Siang	S3-R10	6	21/12/2016
Standard Chartered Securities Sdn Bhd	Elaine Chi Yin Tsang	S3-R06	6	24/8/2016

Type of activities - (1) Dealing & Arranging deals in investments as principle or agent. (2) Dealing and arranging deals in investments as principle or agent (Limited to dealing in Unit Trust products only).

(3) Dealing and arranging deals in investments as principle or agent (Limited to dealing in Unit Trust products and investment-link insurance contract only).

(4) Using computer-based system for giving investment instruction. (5) Providing Investment Advice as an Investment Adviser. (6) Providing Investment Advice as a Financial Planner.

(7) Managing investments including CIS management and/or establishing, operating or winding up a CIS

Collective Investment Schemes

CIS Fund Name	Date of Cessation
BIBD Al-Kauthar Funds DCC Incorporated	2/15/2017
Al-Ahli Global Trading Equity Fund	3/30/2017
Al-Ahli Small Cap Trading Equity Fund	3/30/2017