



CAPITAL MARKET UNIT

REGULATORY DEPARTMENT, AUTORITI MONETARI BRUNEI DARUSSALAM

Updated on 20 October 2020

COMPANIES LICENSED UNDER SECURITIES MARKETS ORDER, 2013

(1) Capital Markets Services Licence Holders

No.	Name of companies	CMSL	Contact details			Type of Activities					
		Reference No.	Office Address	Contact no.	Designated Head	Dealing and/or arranging deals and transactions in investment as principal or agent	Safekeeping and administration of assets including custodial services	Managing investments including CIS management and/or establishing, operating or winding up a CIS	Investment advice as an investment adviser	Investment advice as a financial planner	Using computer based systems for giving investment instructions
1	Baiduri Capital Sdn Bhd	AMBD/R/CMU/B1-CL	Unit LG.01, Baiduri Bank HQ 1 Jalan Gadong Bandar Seri Begawan BA1513	Off: (673) 226 8828 Fax: (673) 226 8827	General Manager: Peng Eng Soon	/				/	/
2	BIBD Securities Sdn Bhd	AMBD/R/CMU/B2-CL	Tingkat 1, Kompleks Setia Kenangan Kg Kluai Bandar Seri Begawan BE 1518 Negara Brunei Darussalam	Off: (673) 222 1470 Fax: (673) 223 9153	Acting Managing Director: Hj Muhammad Yazid bin DP Haji Mahadi	/		/		/	
3	CIMB Investment Bank Berhad	AMBD/R/CMU/C1-CL	14th Floor, PGGMB Building Jalan Kianggeh, Bandar Seri Begawan BS8811 Negara Brunei Darussalam	Off: (673) 224 1888 Fax: (673) 224 0999	Country Manager: Brian Wong Kwai Mun				/		
4	Goodlife Financial Planning Sdn Bhd	AMBD/R/CMU/G1-CL	No. 4, 1st Floor, Block D, Kiarong Complex, Gadong, BE1318, Brunei Darussalam	Off: (673) 245 5371 Fax: (673) 245 5481	Director: Ang Kian Guan					/	
5	Lion Global Investors Limited - Brunei Branch	AMBD/R/CMU/L1-CL	Unit 3A, Level 5, Retail Arcade The Empire Hotel & Country Club Jerudong BG3122 Negara Brunei Darussalam	Off: (673) 223 0826 / 223 0836 Fax: (673) 223 0283	Acting Head: Zefri Delaney bin Haji Mohd Dennis			/			
6	SBI (B) Sdn Bhd	AMBD/R/CMU/S1-CL	Level 6A Empire Arcade The Empire Hotel & Country Club Kampong Jerudong BG3122 Negara Brunei Darussalam	Off: (673) 224 0610 Fax: (673) 224 0611	Interim Chief Executive Officer: Yoshihiro Ishiwata			/			
7	Standard Chartered Securities Sdn Bhd	AMBD/R/CMU/S3-CL	G01-02, Wisma Haji Mohd Taha Building, Jalan Gadong BE4119 Negara Brunei Darussalam	Off: (673) 236 6099 Fax: n/a	Chief Executive Officer: Brenda Low Leng San	/			/	/	
8	UOB Asset Management (B) Sdn Bhd	AMBD/R/CMU/U1-CL	Unit FF03-FF05, First Floor, The Centrepoint Hotel, Jalan Gadong, Bandar Seri Begawan, BE3519	Off: (673) 242 4806 Fax: (673) 242 4805	General Manager: Kamal bin Haji Muhammad			/	/		